

Statistical Analysis of Deep Learning Models for Diabetic Macular Edema Classification using OCT Images

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Abstract:

Diabetic macular edema (DME) is a potentially blinding complication of Diabetic retinopathy (DR) and indeed the main cause of visual impairment in diabetic patients. DME can indeed be diagnosed in varying levels of severity by employing Optical Coherence Tomography (OCT), which is a standard imaging modality to capture the 3D view of the retina. Computerized detection of DME is beneficial, and automated identification can assist doctors in their daily activities. Deep Learning (DL), a widely recognized method in this regard, has contributed to improving the effectiveness of classification algorithms. The focus of this research is to use a standard OCT dataset to test and analyse two DL models, Optic Net and DenseNet for DME classification. A statistical analysis of the accuracy measures collected during the experiments is performed to evaluate the performance of the two models. The statistical findings suggest that the model Optic Net (Accuracy-98%, Specificity-100%) outperforms DenseNet (Accuracy-94%, Specificity-96%) in terms of accuracy, and the results could be used to choose an optimal model for DME detection.

Keywords: Optical coherence Tomography, Deep Learning, Diabetic Macular Edema.

I. INTRODUCTION

Diabetes is characterized by chronic hyperglycemia that occurs whenever the pancreas fails to produce insulin or when the existing insulin in the body is not being used effectively [1]. Hyperglycemia is attributed to an elevated level of glucose in the blood. An excessive amount of glucose over time can induce diseases of the kidney, eye, heart, and blood vessels. The delicate blood vessels in the retina can be impaired by a higher concentration of glucose. There may occur leakage or blockage of blood vessels leading to an eye complication known as Diabetic Retinopathy (DR) [2]. DR is characterized by the lesions such as microaneurysms, hemorrhages, hard and soft exudates, etc [3]. Microaneurysms occur because of the blood vessel

all damaged due to an excessive amount of glucose in the body. They appear like tiny red dots on the blood vessels and may lead to hemorrhages when ruptured. Protein and lipids deposited on the retina lead to the formation of hard and soft exudates. A large amount of fluid accumulated in the retina causes it to swell. And this swelling, which when occurs at the crucial part of the retina, the macula, is named Diabetic Macular Edema [2], [4].

DME is a severe macular disorder that can be apparent at any level of DR. DME, being an important component of DR, may lead to complete vision loss where the lost vision cannot be recovered. Macula, being located in the middle of the retina, a common and noticeable characteristic associated with DME is the rise in the macular thickness. A safer option for preventing vision

loss is early detection and treatment of DME. As the number of DME patients is increasing at a drastic speed, we need automated methods for faster detection. Among different eye imaging techniques available to date, Fundus photography and Optical Coherence Tomography are the most used. Fundus images can provide 2D images of the eye retina, which lack quantitative statistics [5][6].

With the Optical Coherence Tomography imaging technique, infrared light is utilized to produce cross-sectional photographs of active body tissues. OCT machine can capture volumetric information of retinal layers such as the thickness. The presence of edema can be seen with fundus images but the quantity of fluid accumulated can be better visualized with the OCT scans. The OCT images of a normal and DME affected retina are depicted in Figure 1.

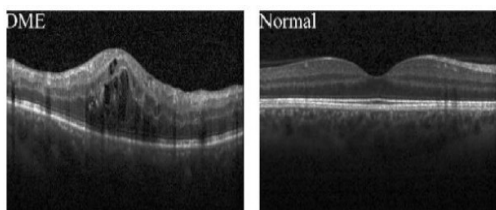


FIG. 1. OCT IMAGES OF DME AND NORMAL RETINA.

II. RELATED WORK

Many researchers have used pre-trained and custom-designed DL models to classify OCT images to identify a multitude of eye disorders. Among the journal papers where DL-based CNNs are used for the classification of DME, the majority of models are retrained and tested on private data sets which are not accessible in the public domain. No attempt has been made in the literature to compare different DME detection models using OCT images. To cross-validate any newly built DL

model, there is a need to have a common dataset that serves as a benchmark for the study. No attempt has been made to compare the performance of a set of DL classifiers for DME detection against a common OCT dataset. Also, most of the models have employed very huge public datasets for training. Although most eye clinics possess smaller OCT datasets, any DL classifier that performs well with a smaller dataset is appreciated. In this case, a quantitative analysis of the performance metrics of the DL models can be useful. The outcome of the proposed work is expected to meet the identified research gap. Therefore, the main objective of the research to be accomplished, involves the analysis of DL models for DME detection using a common, small OCT dataset. VGG-16 network is fine-tuned for OCT image categorization by Li et al. [13] to demonstrate the effectiveness of the TL method. Leyuan et al. [14] adopted an iterative fusion technique, where features from the current convolutional layer are fused with previous layers to improve classification accuracy. Tsuji et al. [15] incorporated the positional information from the OCT images by using a capsule network to enhance the model prediction. Ibrahim et al. [16] attempted the fusion of features obtained by the DL model with handcrafted features extracted from OCT images. In terms of the number of parameters, accuracy, and memory size, the model by Kamran et al. [17] outperforms the existing methods. The CNN model was built from scratch and evaluated on two public datasets. Jun et al. [21] trained a CNN with more than one lakh OCT images employing an attention mechanism along with a pre-processing module. Nithya et al. [18], Ali et al. [19], Tamim et al. [20] and Sunija et al. [22] developed CNN models to grade multiple classes such as DME, AMD and Normal in corporating large public OCT datasets.

III. PROPOSED METHOD

The methodology provides the theoretical framework of the proposed study to address the research gaps identified using engineering solutions. The proposed method aims to analyze the state of art DL models for DME screening using OCT images. The proposed system incorporates a quantitative approach to research. The research intends to use experimental methods for the DL model evaluation. The

study employs an epistemological stance to answer RQ1 and an ontological stance to answer RQ2. And hence the proposed research follows a positivist paradigm with experimentation to arrive at the expected results. The complete block illustration of the proposed method is illustrated in Figure 2. The proposed research aims to train two DL models

ResNet and DenseNet for DME classification using OCT images on Google Colab. Hypothesis testing is used to perform statistical analysis on the findings of the two models. Finally, the results of the hypothesis testing and model findings are used to infer the best-performing model on the given dataset.

The steps taken in the analysis of the models are depicted in Figure 3. In Deep Learning, CNNs are the distinct class of networks used for categorizing images belonging to the medical domain. The proposed implementation aims at classifying DME and NORMAL OCT images using Mendeley dataset. Two CNN architectures ResNet and DenseNet for discriminating between two classes of OCT images are trained successfully. The OCT dataset consists of DME and NORMAL images with the original individual size specification of 1024*496 pixels. Figure 4 depicts the architecture of a standard convolution where the input OCT image is passed over several convolution operations to extract the image features which are high level. Convolutional layers are used in a typical CNN, followed by fully connected and pooling layers. Filters are included in every convolution layer to facilitate the feature extraction process. The experimental method uses a DenseNet201 architecture which makes use of a CNN which is a widely used network for medical image classification.

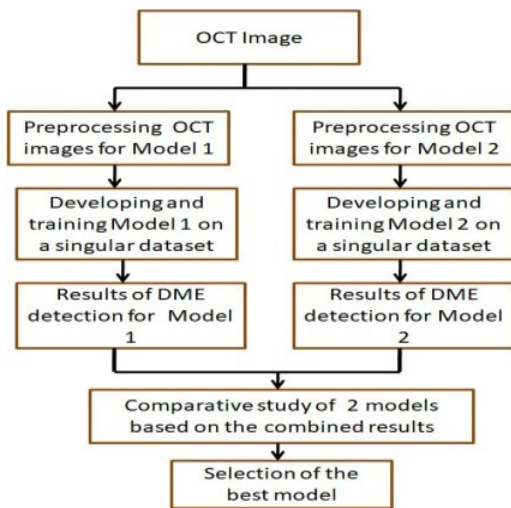


Fig.2. Adopted Methodology

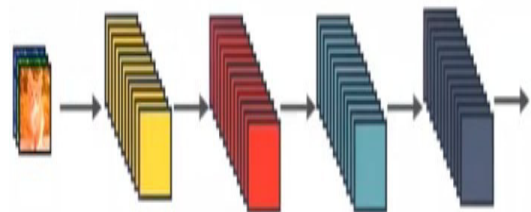


Fig.3. Diagram describing standard convolution [26]

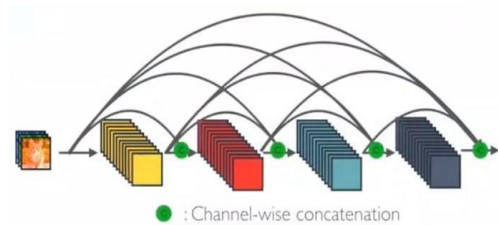


Fig.4. ResNet concept in OpticNet

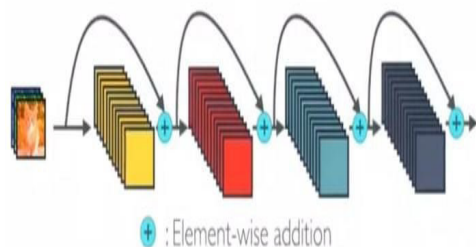


Fig5: Structure of the DenseNet block

The pre-trained CNN on the ImageNet dataset is used for classification. Image Net dataset consists of 1000 different sets of classes with a total of 1.2 million images. During the retraining process, different parameters of CNN were updated across multiple layers of the model. This fine-tuning is expected to help enhance the output of the model. The model incorporated a categorical-cross entropy loss function with a learning rate of 0.001. The optimizer used to reduce the loss function is the Adam optimizer. Dataset was trained in 50 different epochs with a batch size of 32 .An important feature of DenseNet is that feature maps of all the preceding layers in the network are provided to every other layer of the network, as additional input during processing. Also, every layer forwards its feature maps to The subsequent layers. Concatenation operation is performed to process the inputs. Collective knowledge from all the layers is taken into consideration during the processing performed at an individual layer. With this feature, the number of channels required by the network is less and the network becomes thinner and more compact. Figure 5 demonstrates the architecture of DenseNet. Figure 6 illustrates the architecture of the ResNet architecture is modified by proposing a few changes to be the Optic Net model. Additionally, a residual unit is used for subsuming a trous separable convolution. The model incorporated a method to avoid gradient loss. To eliminate gradient

degradation, the identity mapping technique is used with element-wise addition in ResNet. Skip connections are incorporated to match the input of one layer to the next layer without applying modifications to the input. Figure 7 shows differences between ResNet and OpticNet. Compared to ResNet, OpticNet requires minimal parameters and less memory. The optimizer used to reduce the loss function is the Adam optimizer. Dataset was trained in 50 different epochs with a batch size of 32.

A. OCT dataset

The primary step in OCT image analysis is image acquisition. The research focuses on the use of these secondary sources for data collection. A public OCT image dataset “Large” Dataset of Labeled Optical Coherence Tomography (OCT) and Chest X-Ray Images” [10] is used for the evaluation of DL models. The research makes use of 450 OCT images consisting of two image classes DME and NORMAL from the public dataset. The two classes of images vary drastically in their appearance

IV. RESULTS AND DISCUSSION

The models ResNet and DenseNet are trained on a Google Collab environment with 864 DME and 864 NORMAL images. The test dataset for both the models contained 500 OCT images with 216 DME and 216 NORMAL images.

A. Experimental Results and Inferences

The experimental results obtained for the DME classification using the 2 models on the Mendeleya dataset along with the graphs are illustrated. Both the models ResNet and DenseNet were tested over 216 NORMAL and 216 DME images. Results show that the model DenseNet performs better

erwithanaccuracyof 98.6%. The graphobtainedforloss during training andvalidationisillustratedinFigure8.The graph obtained for accuracy values during training andvalidationisillustratedinFigure9.

Fig.6.Trainingandvalidationloss

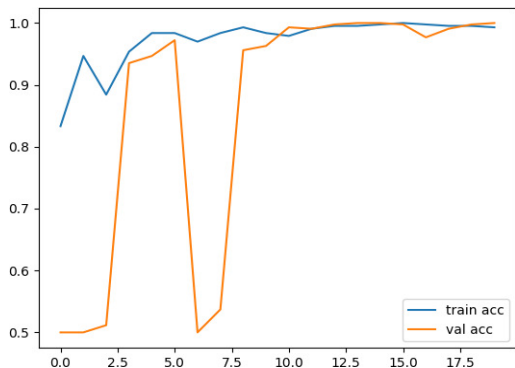


Fig.7.TrainingandvalidationAccuracy

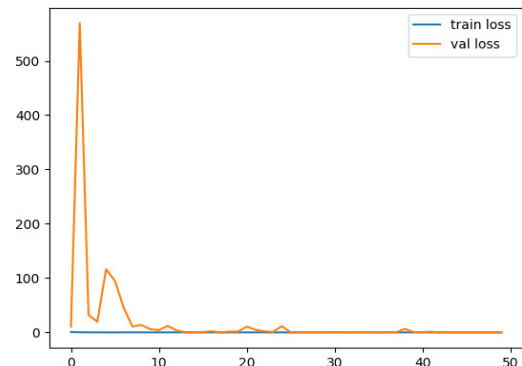


Fig.8.Trainingandvalidationloss

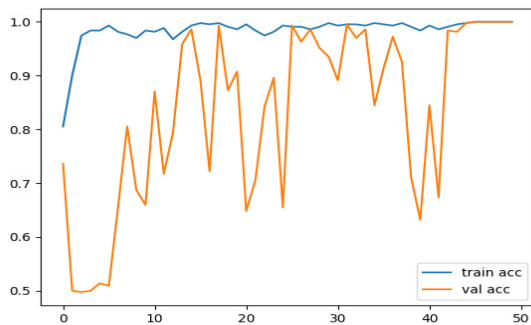
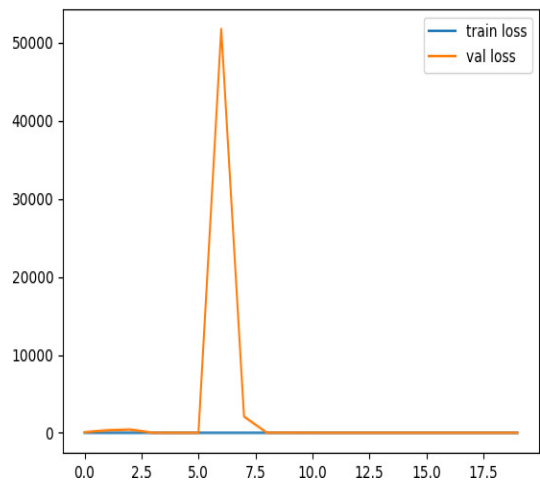


Fig.9.TrainingandvalidationAccuracy.

TABLE I
ACCURACY VALUESFOR OPTIC NET AND DENSENET

SL.No.	Accuracyvalues forRes Net	SL.No.	Accuracyvalues forDenseNet-
10	98	10	98
20	93	20	98
30	83	30	99
40	91	40	98
50	93	50	100

Dense Net obtains accuracy 98.6%.The graph obtained for lossduring training and validation is illustrated in Figure 6. Thegraph obtained for accuracy values during training and validation isillustratedinFigure7.The DensNetmodelperformsefficientlywithanoverallachievedaccuracyof98.6%.The egraphsobtainedfor the loss and accuracy seem more stable for DensNet than thegraphsthat areobtainedforResNetThe Optic Net model performs efficiently with an overallachievedaccuracyof98%,whichmeansthatthe

misclassification rate is very low. One OCT image out of 50 OCT images got classified wrongly. The model achieved a sensitivity of 95%, which means 23 DME images got classified correctly out of 24 OCT DME images. The model achieved a specificity value of 100%, which means that it could detect all the True Negatives (NORMAL class). DenseNet performed satisfactorily with an overall accuracy of 94%, which shows that 3 out of 50 OCT images got misclassified by the model with satisfactory values for sensitivity and specificity. The graphs obtained for the loss and accuracy seem more stable for OpticNet than the graphs that are obtained for DenseNet.

v. CONCLUSIONS

To obtain good accuracy, DL classifiers entail a huge volume of labeled information for training. Since most of the primary eye care centers possess smaller OCT image datasets, developing a DL model seems difficult because of the scarcity of images. In this study, a small DME OCT dataset is considered to evaluate the performance of two DL models, OpticNet and DenseNet, with pre-trained weights. The OpticNet model with near accurate results is found to be the best performing model on a small public dataset of OCT images. This model may well be transformed into a standalone application that ophthalmologists could use to help them detect and diagnose DME disease on a routine basis. Automated detection of retinal disorders can help in the faster diagnosis of the disease by helping doctors in providing early and timely treatment for the patients. The crucial point here is that the disease detection system must be accurate, fast, and cost-effective. The design of a novel model built using the advantages provided by the OpticNet model is appreciated. Along similar lines, an attempt can be made to identify the DME disease severity levels. The OpticNet model features can be used to detect various other eye disorders such as DR, Myopia, and age-related macular degeneration.

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